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**PATENT APPLICATION****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Fabrice DEVAUX, et al.

Attorney Docket No. Q68526

Appln. No. 10/074,023

Group Art Unit: 2874

Confirmation No.: 5637

Examiner: Unknown

Filed: February 14, 2002

For: MONOLITHIC INTEGRATED OPTICAL COMPONENT INCLUDING A  
MODULATOR AND A HETEROJUNCTION BIPOLAR TRANSISTOR

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

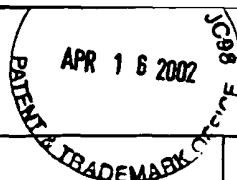
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.



<b>Substitute for form 1449 A &amp; B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<i>Complete if Known</i>			
		Application Number	10/074,023		
		Confirmation Number	5637		
		Filing Date	February 14, 2002		
		First Named Inventor	Fabrice DEVAUX		
		Art Unit	2874		
		Examiner Name	Unknown		
Sheet	1	of	1	Attorney Docket Number	Q68526

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
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		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		FR	2 663 161	A1	12-13-1991	Thomson CSF	No
		FR	2 768 524	A1	3-19-1999	France Telecom	No
		JP	58 151087	A	9-8-1983	Nippon Telegr & Teleph Corp	W/English Abstract

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	
		YU-HENG JAN et al.: “Vertical Monolithic Integration of a GAAS/ALGAAS V-Channeled Substrate Inner Stripe Laser Diode and A Heterojunction Bipolar Transistor” Applied Physics Letters, American Institute of Physics, New York, US, vol. 57, no. 26, December 24, 1990, pages 2750 - 2752	Yes	
		YOSHITAKA OKADA ET AL: “Optical Intensity Modulator for Integrated Optics by use of a Heterojunction Bipolar Transistor Waveguide Structure” Applied Physics Letters, American Institute of Physics, New York, US, vol. 55, no. 25, December 18, 1989, pages 2591-2593, XP000126806.	Yes	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

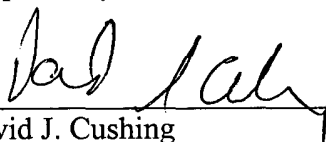
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No. 10/074,023

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from a Foreign Patent Office in a counterpart application citing such documents, together with an English-language version (if not already included) of that portion of the Communication indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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